A Hybrid Clustering algorithm for solving the Vehicle Routing Problem in Waste Management

Author: Albert Khaidarov Mentor: dr. Balázs Dávid

Outline

- Problem description
- Solving the VRP
 - Construction
 - Clustering VRP
 - Local search
 - Meta-heuristics
- Work plan
- Challenges

Waste management

Waste management plan involves a lot of steps:

- Waste generation
- Waste collection
- Waste processing
- Waste treatment
- Waste disposal
- Recycling

Waste collection

- Is one of the major steps in waste management plan
- Must be done in many different steps:
 - selection of a proper container storage
 - collection vehicles (optimal capacity, fuel consumption)
 - proper crew (organization, operation mechanism)
 - \circ routing and collection
 - segregation of different types (plastic, paper, glass, etc.)
 - optimal location of disposal sites
- Frequency of collection must be determined
- Collection routing

Collection routing

Proper planning of collection routing need for

- decreasing overall cost of labor
- conserving energy by minimizing vehicle fuel consumption

Construct of each route based on:

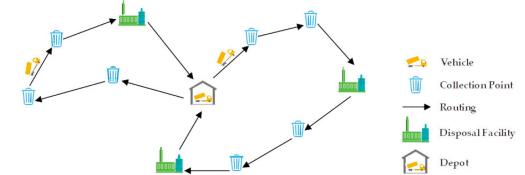
- the amount of collected waste per stop
- capacity of vehicle
- distance between stops
- loading time

Collection routing

Collection routing is the subclass or version of the Vehicle Routing Problem

A simplified route map always should illustrate:

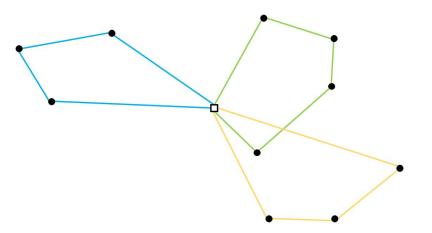
- collection points
- depot (one or many)
- location of disposal sites
- Vehicles usually represented as a routes



*The last point of waste generation should be located near one of the disposal sites

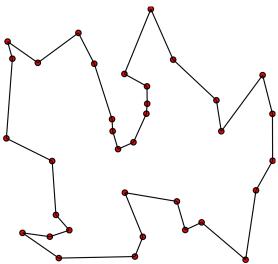
Vehicle Routing Problem

- Giving a set of customers and a fleet of vehicles to make deliveries, find a set of routes that services all customers at minimum cost.
- VRP is NP-hard problem



Traveling Salesman Problem

• Find the tour of minimum cost that visits all cities





Vehicle Routing Problem

For each customer we know:

- Quantity required
- The cost to travel to every other customer

For the vehicle fleet we know:

- The number of vehicles
- The capacity of each vehicle

We must determine which customer each vehicle serves, and in what order, to minimize cost

Vehicle Routing Problem

In academic studies, usually a combination:

- minimize the number of routes
- minimize total distance or total time

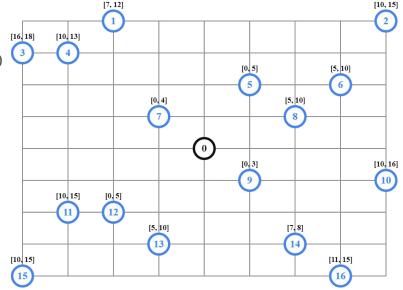
In real world:

- it is a combination of time and distance
- must include vehicle- and staff-dependent cost
- number of vehicles is fixed
- can have soft and hard constraints

Time window constraints

- A window, during which service can start
- E.g. only accept collection between 09:00 and 11:00
- Penalties for soft time windows

- Additional input data required
 - $\circ \qquad {\rm duration} \ {\rm of} \ {\rm each} \ {\rm customer} \ {\rm visit}$
 - $\circ \qquad {\rm time \ between \ each \ pair \ of \ customers}$
- Makes the route harder to visualise



VRP in the real world problems

Vehicle Routing Problem with the real world constraints:

- Multiple Time Windows
- Multiple commodities (or types of waste)
- Multiple depots
- Heterogeneous vehicles
- Compatibility constraints
 - E.g. hazardous waste can't be transferred on standard collection trucks

Solution Methods

Exact:

- Integer programming
- Constraint programming

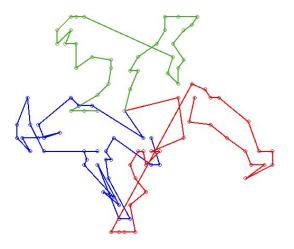
Heuristic:

- Construct
 - $\circ \qquad \text{Insertion algorithm} \\$
 - Clustering algorithm
- Improve
 - Local search
 - Meta-heuristic

Construction Heuristic

Construction by insertion

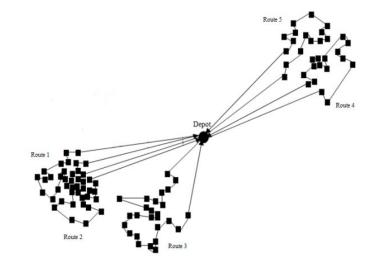
- Start with an empty solution
- Add one element to the solution at a time
 - $\circ \qquad {\sf Choose \ which \ customer \ to \ insert}$
 - \circ Choose where to insert it
- E.g. (Greedy)
 - Choose the customer that increases the cost by the least
 - Insert it in the position that increases the cost by the least



Construction Heuristic

Capacitated K-means Clustering

- K is the number of vehicles
- Centroid-based clustering
- Finding the k cluster centers and assign the objects to their nearest cluster center
- Gets the minimum squared distance
- Each customer is assigned to exactly one cluster



Capacitated K-mean Clustering

The steps in k-means for VRP:

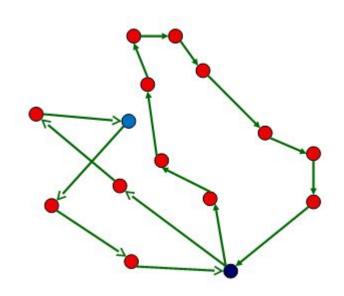
- 1. Choose the number of clusters, k.
- 2. Randomly generate centroids of clusters,
- 3. Assign each point to the nearest centroid (Euclidean distance).
- 4. Recompute the new cluster centroids.
- 5. Repeat steps 3 and 4 until convergence criterion is met.

Routing in each cluster with a simple greedy algorithm:

- First customer should be closest to the depot, the second closest to the first one, etc
- Send the truck to the disposal site once it's "full"
- Resume trip with the closest unvisited site
- Last visited customer should be the closest to the near disposal site

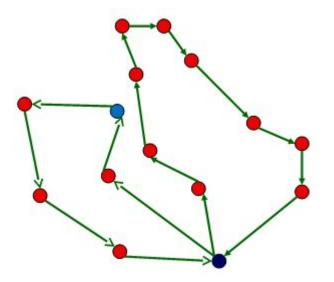
Local search

- Often defined using an "operator"
 - e.g. 1-move



Local search

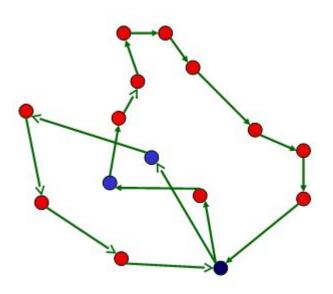
- Often defined using an "operator"
 - o e.g. 1-move
- Solutions that can be reached using the operator termed the neighbourhood
- Local search explores the neighbourhood of current solutions



Local Search

Other neighbourhood for VRP:

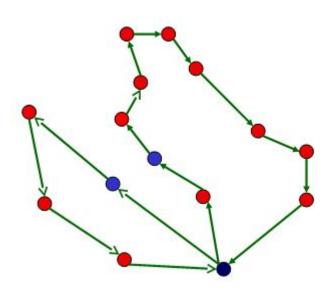
• Swap 1-1



Local Search

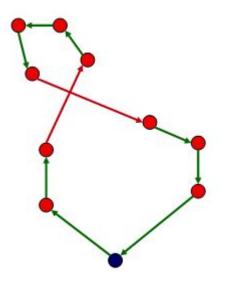
Other neighbourhood for VRP:

• Swap 1-1



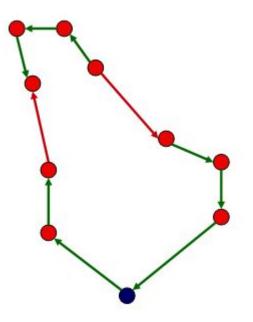
2-opt (3-opt, 4-opt...)

- Remove two arcs
- Replace with two others



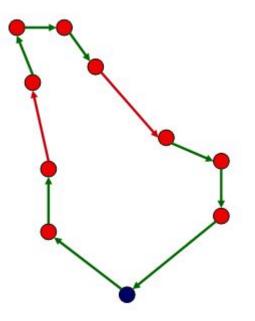
2-opt (3-opt, 4-opt...)

- Remove two arcs
- Replace with two others



2-opt (3-opt, 4-opt...)

- Remove two arcs
- Replace with two others



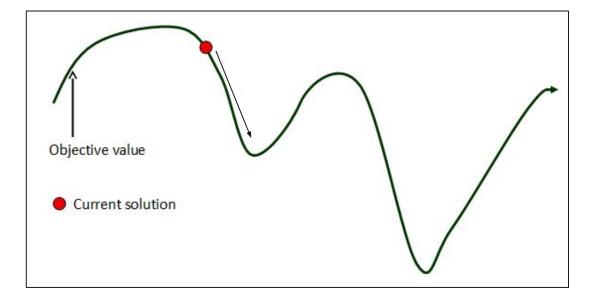
Or-opt

- Consider chains of length k (with value 1...n/2)
- Remove the chain from its current position
- Consider placing in each other possible position
 - \circ in forward orientation
 - \circ and reverse orientation
- Very effective

Tail exchange

• Swap final portion of routes

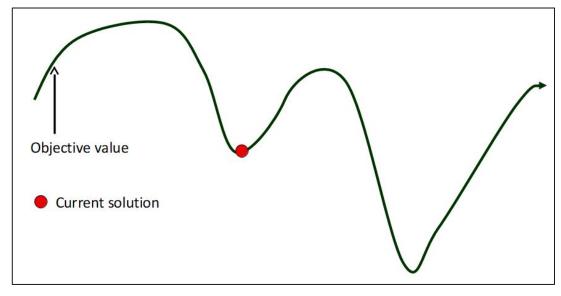
Local Search



• Local search is always trying to find neighboring solution that have a better objective value

Local Search

Local minima:



- Local search is always trying to find neighboring solution that have a better objective value
- In some cases we can't reach global minima
- Metaheuristics for escaping the local minima

Variable Neighbourhood Search

- Consider multiple neighbourhoods
 - 1-move (move 1 visit to another position)
 - 1-1 swap (swap visits in 2 routes)
 - 2-2 swap (swap 2 visits between 2 routes)
 - o 2-opt
 - Or-opt size 2 (move chain of length 2 anywhere)
 - Or-opt size 3 (chain length 3)
 - Tail exchange (swap final portion of routes)
 - o **3-opt**
- Explore one neighbourhood completely
- If no improvement found, advance to next neighbourhood
- When an improvement is found, return to level 1

Other Metaheuristics

Escaping local minima with other meta-heuristics:

- Large Neighborhood Search
- Tabu Search
- Simulated Annealing
- Genetic Algorithm
- Ant Colony

And many more

Work plan

- Literature review
- Defining a problem and solution methods
- Implementing the clustering algorithm
 - o Java

- Implementing the improvement algorithm with Local Search
- Testing

Future challenges

- Time windows
- Types of waste
- Road traffic

References

- Kim, B.-I., Kim, S., & Sahoo, S. (2006). Waste collection vehicle routing problem with time windows. Computers & Operations Research, 33(12), 3624–3642. doi:10.1016/j.cor.2005.02.045
- Improved K-Means Algorithm for Capacitated Clustering Problem (S. Geetha, P. Vanathi)
- Solving the Heterogeneous Capacitated Vehicle Routing Problem using K-Means Clustering and Valid Inequalities (Noha Mostafa, Amr Eltawil)
- Das, S., & Bhattacharyya, B. K. (2015). Optimization of municipal solid waste collection and transportation routes. Waste Management, 43, 9–18. doi:10.1016/j.wasman.2015.06.033
- Bodin, L., Mingozzi, A., Baldacci, R., & Ball, M. (2000). The Rollon–Rolloff Vehicle Routing Problem. Transportation Science, 34(3), 271–288. doi:10.1287/trsc.34.3.271.12301

Thank you!

Any questions?